

# Regulated products application guidance

What you need to submit as part of your regulated product application.

## Before you start

Certain food and feed products, called regulated products, must go through a risk analysis process, and require authorisation before they can be sold in the UK.

Before you begin the application process, please consider if you do need to apply for a regulated product authorisation.

Our [background information on placing a regulated product on the market](#) explains the application requirements and will help you to work out if you need to make a regulated product application.

If you are still unsure or have general questions concerning whether a product is permitted in the UK, please contact us at [regulatedproducts@food.gov.uk](mailto:regulatedproducts@food.gov.uk) before starting an application.

If you wish to apply for an authorisation of a cannabidiol (CBD) product, you can find more information in our [CBD guidance](#) and the [novel food application guidance](#).

For other queries, including imports and registering a food business, please read our [business guidance](#) and [submit an online enquiry](#) if you require further assistance.

## Detailed information for each regulated product type

You need to apply for a regulated product authorisation if you wish to place one of the following product types on the market in the UK.

Follow the links for specific guidance according to each regime. These pages also link to the detailed European Food Safety Agency (EFSA) guidance where available. This guidance remains relevant to applications made in Great Britain (GB), as it is based on legislation and processes that are also applicable to GB.

- [extraction solvents](#)
- [feed additives](#)
- [feed for particular nutritional uses](#) (PARNUTS)
- [feed detoxification processes](#)
- [flavourings](#)
- [food contact materials](#)
- [food additives](#)
- [food enzymes](#)
- [genetically modified organisms](#) (GMOs) as food and feed
- [novel foods](#)
- [smoke flavourings](#)

## Please note

Authorisations are not generally issued for composite foods. The authorisation requirement relates to a particular substance (for example, 2'-fucosyllactose as a novel food) rather than to a composite product containing a regulated product as an ingredient (for example, a flavoured drink).

containing the novel food 2'-fucosyllactose). Applications for authorisations must be prepared accordingly.

## The application process

Once you have confirmed that the product you wish to market is a regulated product that is not already authorised in Great Britain, you should ensure that you have all the information our risk assessors will need to decide if the product is safe to be placed on the market.

For more details on what information you'll need to provide, please read the [guidance that applies to your product type](#).

For an overview of the authorisation process, please refer to our [background information on placing a regulated product on the market](#), our [regulated product process flowchart](#) and [risk analysis process flowchart](#).

### Please note

Applications are made via [our online portal](#), where you can upload all the required information. Once your application has been submitted you will receive an email with your case reference number and a secure link where you can view your application and case history.

## General advice on submitting a dossier

When applying for a regulated product authorisation you will have to supply administrative, technical and safety information. This information will form your application dossier. The dossier requirements and structure are specific to each product type. You must read and follow the [detailed guidance for the regime your product falls under](#) (for example, novel foods, food additives) and submit the required data and information specified in the relevant guidance.

**Some new products, particularly those produced by GM, may require applications under more than one regime. If this might be relevant to your product, you are strongly advised to contact us at [regulatedproducts@food.gov.uk](mailto:regulatedproducts@food.gov.uk) before starting an application.**

The European Food Safety Authority (EFSA) has developed technical guidance on the requirements of application dossiers for [feed additives](#), [genetically modified organisms](#), [flavourings](#) and [food contact materials](#) (plastic, active & intelligent materials, recycled plastics).

Specific guidance for additives in regenerated cellulose film (RCF) is not available, but you can use the guidance for plastic monomers and additives as a helpful guide. This guidance remains relevant to GB applications, as it is based on legislation and processes that are also applicable to GB. You should follow the parts that relate to the development of dossiers only (not the application process).

## What is needed for an application

An application consists of two parts:

### 1. Administrative information

We will need to know:

- who is applying for the authorisation;
- who is responsible for the product or process;

- who we should contact if we have any questions.

It is also helpful to know the application's status in the EU, if it has been submitted there.

## 2. Technical and safety information

The dossier needs to contain all the information that is requested within the applicable guidance. We need this information to understand more about the product or process and how it is intended to be used. This will allow us to carry out a thorough risk assessment, where appropriate, to ensure the product or process can be used safely. The [application portal](#) includes a checklist to help you ensure your dossier is complete.

You should clearly list all the data provided and explain how it supports the application. You must submit all relevant data, whether the results are supportive of the product or not, to demonstrate that you understand the risks and have identified how these can be managed.

You should also tell us what information in the application you would like to be kept confidential, in line with the criteria set out in the legislation.

If you do not have all the supporting information necessary for your application, please contact [regulatedproducts@food.gov.uk](mailto:regulatedproducts@food.gov.uk), before starting the application process. Applications cannot be submitted unless you have uploaded the required supporting information.

Please note, our working language is English. Dossiers can be accepted in English and Welsh. Any documents, including supporting documents such as annexes or references that are in other languages must be translated and the translation provided with the submission.

Copies of all references and a table of references should be provided, which should clearly indicate which files or parts of files are regarded as confidential and for which a data protection request has been made.

## File names

Files within the dossier should be named logically. Further details are given within the checklists in the portal. This minimises the chance that key data are lost. Dossiers which do not clearly state each file's role take longer to assess. It is also important to cross reference files within the dossier where applicable and make supporting information clear.

Make sure that file names do not include the following special characters:

- " quotation mark
- \* asterisk
- : colon
- < less-than sign
- > greater-than sign
- ? question mark
- / forward slash
- \ back slash
- | vertical bar
- # hash
- % percent

## File types

You can only upload a maximum of 100 files at one time and uploads should not exceed 200mb in total. You may carry out multiple uploads.

You can only upload the following file types to our regulated products application service:

- .ab1
- .asc
- .bam
- .csv
- .doc
- .docx
- .faa
- .fast5
- .fasta
- .fastq
- .ffn
- .fna,
- .frn
- .gff
- .gff3
- .hdf5
- .odf
- .pdf
- .ppt
- .pptx
- .r
- .sam
- .sas
- .tsv
- .txt
- .xls
- .xlsx
- .aln
- .bai
- .fa
- .fo
- .fq
- .gbk
- 

Any other file type, including zip files (which are not allowed for security reasons) and images, will not be saved. Only single files from the same source folder can be uploaded at a time.

If you have information that is not available in any of these formats or sizes, please contact us at [regulatedproducts@food.gov.uk](mailto:regulatedproducts@food.gov.uk) to discuss options.

## **Tips on providing a good quality dossier**

The points below will not apply to all regimes but give some general advice.

- detailed guidance is provided in the EFSA guidance documents. We advise following this guidance closely, since it is still relevant to GB applications.
- you should clearly explain the product's purpose, intended use and its composition. You must provide evidence of the product's composition and how you know the product's authenticity. For example, it may be necessary to give the chemical name or scientific

(Latin) name, depending on regime.

- the technical dossier should explain how the product meets the criteria set out in the relevant regulation. You should clearly list all the data provided and explain how it supports the view that the product is safe. You must submit all relevant data, whether the results are supportive of the product or not.
- for most application routes, the dossier should include your assessment of the product's risk, with enough information to verify this assessment. The focus of the assessment is that you show your understanding of the risks and identified how the risks can be managed.
- where appropriate, information on the relevance and strength of the data, as well as test methods, should be included, as should an interpretation of the data. If applicable, the variability of the product should be considered when selecting the batches for testing.
- for dossiers that require completion of a production section, a [Hazard Analysis Critical Control Plan \(HACCP\)](#) is useful. The information in this section should identify whether there are new risks introduced by the production process and to what extent existing hazards from the starting material are managed. If the effectiveness of a step is supported by the product analytical testing, this should be clearly stated.
- all references should be provided.

Applications with missing or incomplete information are likely to be delayed by requests for additional information.

Please note, an authorisation for a regulated product allows the product to be used and placed on the market. However, depending on your product, you may also need to comply with other applicable legislation (for example, the requirement to [register a food business](#) and legislation concerning food hygiene and contaminants). Other legislative requirements are outlined in the [guidance for food businesses](#).

## **Make a regulated product application**

You can now use our online service to [make a regulated product application](#).