

# **Local Authority Enforcement Monitoring System**

## **Email Notification and Upload Errors**

Version History.

<b>Version No.</b>	<b>Date</b>	<b>Synopsis</b>
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## **Overview**

This Guidance document will give examples of the errors you may receive when uploading data to LAEMS. Errors will be listed in the email you receive following upload.

### **Reasons for errors**

The principal reasons for errors will be the omission of required data from the xml or the supply of an invalid value.

### **The validation of uploaded files**

The validation of uploaded XML files will first look for missing data. If any data are missing, then the upload will fail and an upload notification email sent informing the user of the reasons for the failure.

Only when missing data have been provided, and the corrected xml file uploaded, will the data validation process look for invalid data. Therefore, once the missing data have been addressed, it is likely that, when the new XML file is uploaded, further errors will be identified that were not contained in the initial email notification.

### **Warning/Fatal Errors**

There are two levels of error when uploading to LAEMS – fatal errors and warning errors.

Fatal errors will cause the upload to fail and require you to address the identified issues within your local system, re-export data to an XML file and re-upload.

Warning errors will not cause the upload to fail. This is either because the issue(s) can be rectified by carrying out mapping within LAEMS or that the data omitted are not mandatory values.

The level of the error will be shown in the notification email. For example:

#### **FATAL Errors**

```
Responsibility: FH - The Risk Score Type/RiskScore combination of Type of food and
method of handling/0 on Premise, LAPremiseID: PI/000093647 is not valid for this
Responsibility
Responsibility: FH - The Risk Score Type/RiskScore combination of Type of food and
method of handling/0 on Premise, LAPremiseID: PI/000106022 is not valid for this
Responsibility
```

#### **WARNING Errors**

```
Responsibility: FH - The Import From value of UNKN on Premise, LAPremiseID:
PI/000077964 is not valid
Responsibility: FH - There are unmapped PREMISE TYPES
Responsibility: FH - There are unmapped PRODUCT TYPES
```

Where the error relates to an individual premises, that premises will be identified, so that the issue can be rectified in the Local Authority system

## Notification Email

You should review the email to see if the file has been successfully uploaded (see highlighted area in yellow below), you will receive an email similar to this:

Dear XXXXX,

Thank you for submitting your data for LAEMS. The following e-mail contains details of the processing of your file and any errors that were found within the data.

WARNING errors indicate that the file was processed successfully but some inconsistencies within the data were found. You should use the MAPPING and DATA SUMMARY functionality in the LAEMS application to correct these.

If more than one data file was included in your submission then each file has been processed individually and any errors for each file are detailed separately. This means that if only one of the files has errors, then only that file need be resubmitted.

### Authority actions

-----  
Submitted filename: XX XXXXX.zip  
Uploaded by: 1234 (XXXXX)  
Uploaded date/time: 10/04/2008 12:09:52

### Food Standards Agency actions

-----  
Process date/time: 10/04/2008 12:11:50  
Process: **SUCCESSFUL**

The following files were processed:

Uncompressed Filename: XX XXXXX.XML

### WARNING Errors

Responsibility: FH - There are unmapped ENFORCEMENT REASONS  
Responsibility: FS - There are unmapped ENFORCEMENT REASONS  
Responsibility: FS - There are unmapped ENFORCEMENT TYPES  
Responsibility: FS - There are unmapped INTERVENTION TYPES  
Responsibility: FS - There are unmapped PREMISE TYPES

Regards

LAEMS Monitoring team  
Food Standards Agency

You will notice that several warning errors have been identified. Each of these refers to mapping being required and does not require data in the LA system to be corrected.

If the upload has failed (see area highlighted in green), an email similar to the following will be received:

Authority actions

-----  
Submitted filename: XX XXXXX.zip  
Uploaded by: 1234 (XXXXXX)  
Uploaded date/time: 23/04/2008 15:41:54

Food Standards Agency actions

-----  
Process date/time: 23/04/2008 15:42:55  
Process: **FAILED**

The following files were processed:

Uncompressed Filename: XX XXXXX.XML

FATAL Errors

Responsibility: FH - The Risk Score Type of Level of (current) compliance (hygiene) has not been provided for Premise, LAPremiseID: **05/132X/0007/00ZZ** in this Responsibility  
Responsibility: FH - The Risk Score Type of Level of (current) compliance (structural) has not been provided for Premise, LAPremiseID: **05/132X/0007/00ZZ** in this Responsibility

Regards

LAEMS Monitoring team  
Food Standards Agency

The email will identify those premises where errors have occurred (see areas highlighted in blue above).

When the upload has failed, the errors need to be corrected in your system and the xml file re-exported and re-uploaded.

## Common Errors

Table A (see page 7) gives examples of errors and the action(s) necessary to address them.

The main categories of error are as follows:

**Data file** – there is a problem with the XML file (see errors 1 to 3 in table A).

**Data omitted** - data have not been supplied (see errors 4 to 12 and error 15 in table A).

**Invalid value** - An invalid value has been supplied (see errors 13 to 14 in table A).

**Mapping required** – categories have not been mapped to the required monitoring categories (see error 16 in table A).

Table A

	Example of error message	Reason for error	Suggested remedial action
<b>FATAL ERRORS</b>			
1	Process general error: Name of XML File.XML could not be opened as a PKZip file No files were found to be processed	The file uploaded is not in a zip file format.	Ensure the xml file is compressed to a zip format for uploading. See guidance: <a href="http://www.food.gov.uk/multimedia/pdfs/enforcement/laemsdatadeliverymappingdoc.pdf">http://www.food.gov.uk/multimedia/pdfs/enforcement/laemsdatadeliverymappingdoc.pdf</a>
2	An invalid XML file has been uploaded. Please correct the errors and try to upload again.	There is a problem with the xml file. This is usually because an invalid character has been used in the file e.g. an '&' has been used.	Try to open the xml file in internet explorer, by double-clicking on the file. The file will not open properly in Internet Explorer and the error will be shown. Correct the error and re-export to an XML file and upload the new file to LAEMS.
3	The 'Premise' start tag on line '78731' does not match the end tag of 'Responsibility'. Line 78736, position 3.	The structure is incorrect e.g. a tag such as <Premise> is opened, but no tag to close the record </Premise>, has been used.	You will need to refer this to your software supplier as the XML file is not being created in the proper format.
4	The 'RiskScore' element has an invalid value according to its data type. An error occurred at , (60, 14). Premise Name:The First Business	A problem has been identified within the risk rating scores for the premises - this will usually be the failure to supply a value for one or more of the required scores. The premises name or ID (whichever has been used to distinguish the premises) is shown. The numbers shown (60, 14) refer to the location of the error within the XML file.	Check the record for the relevant premises and ensure that all of the necessary risk scores have been recorded. A list of the required risk scores and the valid score for each can be found at: <a href="http://www.food.gov.uk/multimedia/spreadsheets/laemxmlvaluelists.xls">http://www.food.gov.uk/multimedia/spreadsheets/laemxmlvaluelists.xls</a> Correct any errors, re-export data to an XML file and upload the new file to LAEMS.
5	Responsibility: FS - The Risk Score Type of Extent to which the activities of the business affect any hazard has not been provided for Premise, Owner: Mr X Address: 5 The Avenue, The New Place Town/City: The Town Postcode: 1234 RTY in this Responsibility	A risk score has not been provided. In this instance, this field has not been exported to the XML file. The details of the relevant responsibility (in this example, FS) and the premises are shown.	Check that the record for the premises contains the relevant risk score. If it does, refer the query to your software supplier, as the problem is that the necessary data are not exporting from your system to the XML file.
6	Responsibility: FS - The Risk Score Type of Level of (current) compliance has not been provided for Premise, LAPremiseID: PI/000001576 in this Responsibility	A risk score has not been provided. In this instance, this field has not been exported to the XML file. The details of the relevant responsibility (in this example, FS) and the premises are shown.	Check that the record for the premises contains the relevant risk score. If so, refer the query to your software supplier, as the problem is that the necessary data are not exporting from your system to the XML file.

Table A

	<b>Example of error message</b>	<b>Reason for error</b>	<b>Suggested remedial action</b>
7	The element 'Premise' has invalid child element 'RiskScores'. Expected 'CeasedTrading RiskRating'. An error occurred at , (79, 2). Premise ID:PI/000042807	A field has been omitted. In this example the Risk Rating has not been provided. The premises ID of the 'offending' premises is shown. The numbers shown (79, 2) refer to the location of the error within the XML file.	Check the record for the relevant premises to ensure that all necessary data are recorded on your system. Correct any errors, re-export data to an XML file and upload the new file to LAEMS.
8	The 'RiskScore' element has an invalid value according to its data type. An error occurred at , (64, 14). Premise ID:PI/000001576	A risk score has not been provided. The field for the relevant score is in the XML file, but the value for the score is blank.	Check the record for the relevant premises to ensure that all necessary data are recorded on your system.
9	Responsibility: FH - The Risk Score Type of Type of food and method of handling has not been provided for Premise, LAPremiseID: PI/000000864 in this Responsibility	The field for a score has not been provided in the XML file. In this example, for Food Hygiene, no field for the risk score 'Type of Food and method of handling' has been provided for the premises PI/000000864.	Check the premises record to ensure that the relevant field is recorded on the LA system. If so, you will need to contact your software provider to establish why this information is not exporting to the XML file.
10	The 'ComplaintType' element has an invalid value according to its data type. An error occurred at , (126, 18). Premise ID:PI/000002117	The required value for the type of complaint has not been provided. The complaint field is in the XML file, but the value is blank.	Check the record for the relevant premises and ensure that the correct value for the complaint type has been recorded.
11	The element 'Intervention' has incomplete content. Expected 'RiskRatingAtIntervention'. An error occurred at , (216, 3). Premise ID:PI/000002207	A field has been omitted from the XML file. In this example, the risk rating at intervention has not been exported to the XML file. The relevant premises is shown.	Check that the record for the premises contains the relevant risk score. If so, refer the query to your software supplier, as the problem is that the necessary data are not exporting from your system to the XML file. Otherwise, enter the required data and then re-export data to a new XML file and try to re-upload.
12	Responsibility: FH - Unable to complete creation of DATA SUMMARY data	A mandatory field has not been supplied.	Check that all of the necessary details have been supplied for all officers – Head of Service, Lead Officer and Monitoring officer, this must include the email address, telephone number and fax number.
13	Responsibility: FH - Data for this Responsibility is not required	Either you have uploaded data for a responsibility not relevant for your Local Authority or you have uploaded data for an invalid year e.g. data for the 2008/09 financial year is required (Financial Year 2008) and the xml file contains a different year.	Firstly, check that the responsibility is valid/relevant for your LA. If so, check that the XML file contains the correct financial year value.

Table A

	<b>Example of error message</b>	<b>Reason for error</b>	<b>Suggested remedial action</b>
<b>14</b>	Responsibility: FH - The Risk Score Type/RiskScore combination of Level of (current) compliance (hygiene)/90 on Premise, Owner: Mr X Address: 5 The Avenue, The New Place Town/City: The Town Postcode: 1234 RTY is not valid for this Responsibility	An invalid score has been provided. In this example, for Food Hygiene, a score of 90 has been provided for Level of (current) compliance (hygiene). The details of the premises concerned are shown.	Check the record for the relevant premises and ensure that a valid risk score is recorded. A list of valid scores can be found at: <a href="http://www.food.gov.uk/multimedia/spreadsheets/laemsxmlvaluelists.xls">http://www.food.gov.uk/multimedia/spreadsheets/laemsxmlvaluelists.xls</a> of in the Code of Practice.
<b>WARNING ERRORS</b>			
<b>15</b>	Responsibility: FH - The Import From value of UNKN on Premise, LAPremiseID: PI/000170883 is not valid	The 'imported from' value is not valid.	Refer to the relevant guidance for a list of valid values: <a href="http://www.food.gov.uk/multimedia/spreadsheets/laemsxmlvaluelists.xls">http://www.food.gov.uk/multimedia/spreadsheets/laemsxmlvaluelists.xls</a>
<b>16</b>	Responsibility: FH - There are unmapped PREMISE TYPES	Data uploaded to LAEMS needs to be mapped within LAEMS.	In LAEMS, carry out the necessary mapping. See guidance: <a href="http://www.food.gov.uk/multimedia/pdfs/enforcement/guidemapmonitoring.pdf">http://www.food.gov.uk/multimedia/pdfs/enforcement/guidemapmonitoring.pdf</a>